

**DEPARTMENT OF SAVINGS AND MORTGAGE LENDING  
STATE AGENCY JOB VACANCY NOTICE**

**Opening Date:** Immediately  
**Number of Openings:** 1  
**Classification:** Exempt  
**Closing Date:** Until Filled  
**Location:** Austin, Statewide,  
(Remote work)

**Posting number:** SML- 372  
**Duration of Job:** Regular Full Time  
**Group/Class #:** B25/1108  
**Salary\*:** \$5,259 min - \$8,624 max  
\*Commensurate with qualifications  
and experience

**Military Specialty Codes:**  
Army-36A, 70C Navy-N/A  
Coast Guard-F&S, FIN10  
Marine-3404,3408,8844  
Air Force-6F0X1, 5FX,65WX

Benefits Offered: Comprehensive healthcare options, State of Texas retirement plan, paid vacation/holidays, Employee Assistance Program, Training/Employee Development Program, and more

Additional information on the SAO Military Crosswalk is available here:

[https://hr.sao.texas.gov/Compensation/MilitaryCrosswalk/MOSC\\_AccountingAuditingandFinance.pdf](https://hr.sao.texas.gov/Compensation/MilitaryCrosswalk/MOSC_AccountingAuditingandFinance.pdf)

**JOB TITLE:** Financial Examiner V – Thrift Supervision

**JOB DESCRIPTION:** Oversees and coordinates off-site regulatory monitoring activities of state savings banks. Conduct monitoring activities for an assigned group of savings institutions, including reviews analysis, and evaluation of examination reports, audit reports, applications, correspondence, quarterly financial results, and other pertinent information regarding savings institutions, coordinating regulatory actions with appropriate federal and state regulators, analyzing risk profile for assigned institutions and developing supervisory monitoring strategies, recommending appropriate action to resolve supervisory or regulatory problems. Researches, analyzes, and interprets questions regarding the application of state and fed-eral financial institution statutes and regulations. Drafts routine and frequently complex documents and orders of the Commissioner. Works under minimal supervision with considerable latitude for the use of initiative and independent judgment. Reports to the Deputy Commissioner/Director of Thrift Regulation.

**EXAMPLES OF WORK PERFORMED:**

- ◆ Oversees monitoring and communications of supervision for a case load of state savings banks.
- ◆ Serves as central point of contact with federal regulators and state savings banks for the supervisory analysts.
- ◆ Oversees and/or responds to inquiries and provides regulatory guidance and interpretation to assist institution management in compliance with applicable state and federal statutes and regulation.
- ◆ Oversees and/or conducts comprehensive review and analysis of CALL reports, business plans, audit reports, and examination reports, including related correspondence.
- ◆ Performs frequently complex financial modeling, financial data analysis, and complex contract, financial and securities transaction reviews related to assigned institutions.
- ◆ Oversees and/or reviews institution applications for compliance with applicable statutes and regulations, critically evaluating the merits of the application, communicating with applicant to obtain all necessary information, and recommending appropriate Department response.
- ◆ Oversees the evaluation and maintenance of institution risk profiles and may develop comprehensive supervisory action plans for assigned institutions semi-annually.
- ◆ Researches regulatory issues related to institutions, developing findings and making recommendations to the Commissioner, the Deputy Commissioner/Director of Thrift Regulation, and/or General Counsel for supervisory action.
- ◆ May assist with developing and preparing reports to the Finance Commission of Texas and other external entities.
- ◆ Other related work as assigned.

**GENERAL QUALIFICATIONS:**

- ◆ Experience and Training: Five years of significant experience in financial institution auditing/examining and making presentations to senior management and directors. Work experience in a financial institution is desirable. Experience in supervision of subordinates is desirable. Specialized knowledge or designations in

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real estate appraisal or capital markets also a plus. Extensive knowledge of financial institution fundamentals and both state and federal regulatory environment.

- ◆ Education: Graduation from an accredited four-year college or university with major course work in business, finance, economics, or accounting, with a minimum of nine hours in accounting. CPA, CFA, certified internal auditor, or other relevant certification or designation a plus.

### **KNOWLEDGE, SKILLS AND ABILITIES:**

- ◆ Significant financial institution knowledge in internal auditing or regulatory compliance.
- ◆ Significant knowledge of rules and regulations relating to the thrift industry.
- ◆ Significant knowledge of financial transactions and modeling techniques.
- ◆ Excellent written and verbal communication skills.
- ◆ Knowledge of research and investigative methods and techniques.
- ◆ Ability to analyze and interpret laws and regulations affecting the agency and thrift institutions.
- ◆ Ability to quickly evaluate findings and relate them to applicable rules and statutes.
- ◆ Ability to handle multiple tasks, work independently with minimal supervision
- ◆ Ability to meet deadlines and maintain confidentiality in all Department matters.
- ◆ Skill in use of personal computers, including word processing and spreadsheet software.
- ◆ Must possess a valid Texas driver's license.

### **NOTE:**

- ◆ The position may require additional work hours including evenings, weekends, and/or holidays to meet critical deadlines.
- ◆ The job posting in no way states or implies that the duties listed above are all inclusive. Employees are required to perform other duties as assigned.

*External final male applicants who are 18-25 years of age will be required to furnish proof of registration or exemption from registration with the Selective Service System as a condition of state employment. All offers of employment are contingent upon the candidate having legal authorization to work in the United States. Failure to present such authorization within the time specified by the U.S. Department of Labor will result in the offer being rescinded. All offers of employment are also contingent upon satisfactory credit check.*

### **HOW TO APPLY:**

Submit a completely filled out state of Texas application using one of the methods below:

- ◆ Online at <http://www.workintexas.com>, or
- ◆ Email to [jobs@sml.texas.gov](mailto:jobs@sml.texas.gov), or
- ◆ Mail to 2601 N. Lamar Blvd., Ste. 201, Austin, TX 78705, or
- ◆ Fax to 512-475-1505.

Applications are available at <https://www.twc.texas.gov/files/jobseekers/texas-application-employment-twc.pdf>

Resumes and cover letters are optional. Resumes are not accepted in lieu of a completed application. For directions or to request physical accommodations call Human Resources at 512-475-0614.

**E-Verify – This organization participates in E-Verify. This employer will provide the Social Security Administration (SSA) and, if necessary, the Department of Homeland Security (DHS), with information from each new employee's Form I-9 to confirm work authorization.**

**Department of Savings and Mortgage Lending is an equal opportunity employer.**