



Date

Qualifying Individual Name
Mortgage Company Name
Street address / Suite
City, State, Zip

Re: Examination Notification – Company Name & NMLS ID

Dear QI Name:

The Texas Department of Savings and Mortgage Lending will be conducting an examination of your company and sponsored residential mortgage loan originators, pursuant to Section 156.301 of the Texas Finance Code. The Examiner-in-Charge, *****, has been assigned as your primary contact for all examination-related issues.

The off-site examination is scheduled to begin *. The examination-related materials will be transmitted through the Department's Citrix ShareFile portal. You will receive an e-mail with a link to your ShareFile exam folder.

The attached Initial Information Request is intended to provide the Department with an overview of the company's general origination activities. Please upload the Mortgage Transaction Log (question 1) to the ShareFile exam folder, on or before *.

After reviewing the Log, the Examiner-in-Charge will provide the file selection, so you can prepare electronic copies of the requested loan files. All remaining requested information, and the files selected for review, must be uploaded to the ShareFile exam folder before the scheduled examination date. You can begin uploading the information as early as desired.

Any questions regarding the Initial Information Request, or the examination process in general, should be directed to ***** at *****@sml.texas.gov or via telephone at 512-***-****. You may also visit the Mortgage Company Examination Resources page accessed via our website, www.sml.texas.gov.

Thank you for your cooperation.

Respectfully,

Attachment