

New Regulation Introduced to Policy in Place



What we do...

Compliance Alliance Benefits:

- Cliff Notes on New Regulations (White Papers)
- Policy Templates
- Procedures
- Flow Charts
- Action Plans
- Lending Matrices
- Compliance Hotline
- Cheat Sheets
- Checklists
- Worksheets
- Compliance Calendar
- Risk Assessment Tools

What we do...

Compliance Alliance Benefits:

- Review of Advertising and Marketing
- Evaluate new products to ensure compliance
- Review Disclosures
- Training Tools
- Statutes
- Regulations
- Rules
- Interpretations
- Compliance Webinars
- Compliance Newsletter
- Forms



What we do...

Compliance Alliance Benefits:

- Notices
- Website
- Email, updates, and news
- And more to come ...



CA Member Benefits

RELATIONSHIP - The demanding compliance environment calls for a partner you can depend on and trust. Banks come to realize that we truly care for their bank and CA works to develop a relationship they can rely on. When member banks contact us, they'll always speak directly to one of our attorneys or specialists.

KEEPING UP TO DATE - Staying up to date with the changes becomes more difficult with each new regulation. Compliance Alliance members receive daily updates as well as weekly and monthly newsletters in addition to having access to our compliance calendar to stay up to date with regulatory changes.



CA Member Benefits

HOTLINE - At Compliance Alliance, we provide personalized responses to member bankers' compliance concerns by phone, email or live chat. Our turnaround time is exceptional. In most cases questions are answered on the spot! Our extended office hours provide convenient times for banks to contact us no matter their location. **The Hotline answers over 1,600+ questions per month.*

REVIEWS

With the quickly-changing regulations, banks need to ensure their documents are up to date. It's difficult for bankers to know for certain unless they've sent them to Compliance Alliance for review. Member banks can send us their policies, procedures, disclosures, new products and marketing materials for a compliance review and have one less thing to worry about.



CA Member Benefits

RESOURCE TOOLS - With more than 900 tools and resources available, our comprehensive library can help bankers tackle any obstacle! From day-to-day functions to preparing banks for an examination, Compliance Alliance provides policies, procedures, cheat sheets, checklists, risk assessments and much more to complete any bank's compliance management program. If CA does not currently offer the document needed, we'll create one at no additional charge!

FEDERAL & REGULATORY

Our assistance goes beyond consumer compliance and covers regulatory-related topics as well, for example interest rate risk, allowances for loan and lease losses, and other real estate owned.



What our member are saying

“Chemical Bank started utilizing Compliance Alliance services 2 months ago and I have been nothing but impressed with their level of service. I first set up the Compliance Group of 4 with access to the website and we have kicked the tires so to speak. We have found that their Cheat Sheets, Cliff Notes, Flowcharts, Checklists along with their monthly newsletter have been very helpful in doing our job. The greatest asset that Compliance Alliance has is the ability to answer our compliance questions in a timely fashion. I am very impressed with their quick turn around with an answer. We contact Compliance Alliance at least 5 times a week asking questions since we first started. These are not simple questions we are asking them but complex questions that involve gray areas of the regulations. I would highly recommend the service Compliance Alliance has to offer.”

- Christine J. Lawson, CRCM, 1st VP Compliance & BSA Officer, Chemical Bank, Midland, MI



What our member are saying

“We joined Compliance Alliance in March, 2012. Since joining Compliance Alliance we have used their services on numerous occasions. I will say that they are very helpful whenever you have a question or should I say multiple questions in my case. Compliance Alliance is an excellent resource. Their website has many useful tools. I really appreciate all the help I have been given!”

- Patti Hozie, Compliance Officer, Streator Home Building and Loan Association, Streator, IL



What our member are saying

“We have found that Compliance Alliance is an open line of help for our staff, from the policies, procedures, cheatsheets, and checklists to the Compliance Alliance staff helping with issues of all kinds. Our staff is very appreciative and most happy that management made the investment in Compliance Alliance to support them in complying with all the rules and regulations. It is saving us half of an FTE, right out of the gate, and we feel this will evolve into a savings of 1 FTE by the time the CFPB is fully up and running. Being a member has greatly exceeded our expectations.”

- Wade Donnell, President and CEO, The National Bank of Texas



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